Supporting Documentation Requirements

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For Base Compensation Payments (BCP) Compensation Program (Part A)

The following documents are required for all claims not filed by or on behalf of a Presumed Class Member.

- 1. Litigation documentation supporting the lawsuit filed was filed and dismissed against Engelhard/BASF within the Class Period.
- 2. A copy of a pleading, an interrogatory answer, or a deposition testimony excerpt describing the Injured Person's alleged exposure to Emtal talc. If no supporting document exists or can be found after claimant has conducted a reasonable search and inquiry, claimant must submit the <u>Part A Certification of No</u> <u>Documentation Form</u> to certify under oath that no supporting document is available. If filing online, this Form will be available when completing your claim.
- 3. **Proof that the lawsuit was based on an injury believed to be caused by exposure to Emtal Talc,** including but not limited to:
 - a. Sworn statement from the attorney who filed the lawsuit confirming it was filed in good faith based on a credible injury claim (see <u>Underlying Lawsuit Declaration</u> <u>GFAC and ID Form</u>. If filing online, this form will be available when completing your claim);
 - b. Proof of exposure to Emtal Talc from a co-worker of the injured party, such as a sworn statement, affidavit or deposition testimony;

- c. Proof of employment at a site where credible records show that EMTAL talc was sold, shipped or delivered; or
- d. Evidence generated before the conclusion of the lawsuit of meaningful exposure after 1966 including invoices, employment records, etc.
- 4. **Proof that the Claimant is the Injured Party or Injured Party Representative identified in the lawsuit** including but not limited to:
 - a. Proof that the Injured Party or Personal Representative is the individual who received notice by supplying a copy of notice mailing label bearing the Claimant Identification Number, and/or
 - b. Declaration from the law firm responsible for the suit. (see either <u>Underlying</u> <u>Lawsuit Declaration GFAC and ID Form or Claimant Attorney's/Claimant's</u> <u>Declaration re: Claimant's Identification</u>). If filing online, this form will be available when completing your claim.

Part A Requirements for Presumed Class Members

Claimants on the Presumed Class Member list may submit their claims with minimal documentation.

1. A copy of a pleading, an interrogatory answer, or a deposition testimony excerpt describing the Injured Person's alleged exposure to Emtal talc.

If no supporting document exists or can be found after claimant has conducted a reasonable search and inquiry, claimant must submit the <u>Part A Certification of No</u> <u>Documentation Form</u> to certify under oath that no supporting document is available. If filing online, this Form will be available when completing your claim.

- 5. **Proof that the Claimant is the Injured Party or Injured Party representative identified in the lawsuit** including but not limited to:
 - a. Proof that the Injured Party or Personal Representative is the individual who received notice by supplying a copy of notice mailing label bearing the Claimant Identification Number, and/or
 - Declaration from the law firm responsible for the suit. (see <u>Claimant</u> <u>Attorney's/Claimant's Declaration re: Claimant's Identification</u>. If filing online, this form will be available when completing your claim.)

For Supplemental Injury Severity (SIS) Based Compensation Program (Part B)

All of the required supporting documents of "Part A" plus the following documents to support the asbestos-related injury that is claimed.

1. If Claimant is establishing a Part B disease level though a certification of prior disease adjudication from a Qualified Asbestos Trust, provide both:

- a. **<u>QAT Polling Assistance Request Form</u>** (if filing online, this form will be available when completing your claim), and
- b. One medical record or a medical report that documents the Injured Person's asbestos injury
 - If no supporting document exists or can be found after Claimant has conducted a reasonable search and inquiry, Claimant must then provide a <u>Part B Certification of No Supporting Documents</u> form attesting under oath that no supporting document is available after making a reasonable search and inquiry to locate one. If filing online, this form will be available when completing your claim.
- If a claimant is **not** establishing, or is unable to establish, the claimed disease level through certification of a prior disease adjudication from a Qualified Asbestos Trust, **or** a claimant is seeking a higher Part B disease level than was previously adjudicated by a Qualified Asbestos Trust (due to disease progression):

Please provide **Medical records establishing and supporting the diagnosis of the claimed Part B disease level** (see <u>Plan of Distribution</u> for requirements and criteria).

For Extraordinary Injury Fund (EIF) Program (Part C)

- 1. Completed EIF Application Form.
- 2. If requesting a waiver, a completed EIF Eligibility Waiver Form

If filing online, these forms will be available when completing your claim.

For Deceased Injured Parties

- 1. Death certificate
- 2. Letters of Administration or other proof of the personal representative's official capacity

Lien Forms

Certain documents are needed to confirm the claimant's lien status. These documents are not required as part of the initial claim submission; the deadline for submitting these documents is 45 days after the Effective Date. Please see Section 7.4 of the <u>POD</u> for more details.

These forms are available to fill out online in the <u>Williams Emtal Talc Lucid Portal</u>, or can be downloaded on the <u>settlement website</u>.

For all Claimants:

- 1. Authorization to Disclose Health Information
- 2. Medicare Proof of Representation Form
- 3. Government Benefits Questionnaire

For Texas Claimants Only -- Texas Medicaid Medical Authorization

For Florida Claimants Only -- Florida HIPAA Compliant Medical Release

Other Documents

If you have additional information you want the Settlement Fund to consider in evaluating the claim, you may also include these documents with your submission.

You may be asked for additional documentation and certifications. Claims are subject to audits and you may be asked to provide additional documentation and certifications related to the audit.